

PART B - FEE(S) TRANSMITTAL

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29490 7590 10/03/2008

GENOMICS INSTITUTE OF THE
 NOVARTIS RESEARCH FOUNDATION
 10675 JOHN JAY HOPKINS DRIVE, SUITE E225
 SAN DIEGO, CA 92121-1127

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(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/690,873	10/21/2003	Baogen Wu	P1080US20	6829

TITLE OF INVENTION: PYRROLIDONES WITH ANTI-HIV ACTIVITY

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$1440	\$1510	01/05/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
COPPINS, JANET L	1626	514-340000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Emily Tongco Wu,
 Genomics Institute of
 2 the Novartis Research
 3 Foundation

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

IRM LLC

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Hamilton, Bermuda

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies _____

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- ☐ A check is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-1885 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Emily Tongco Wu, Req. No. 46,473/ Date December 2, 2008

Typed or printed name Emily Tongco Wu

Registration No. 46,473

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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FAX

The Genomics Institute of the Novartis Research Foundation
10675 John Jay Hopkins Drive
San Diego, CA 92121 USA
Telephone: 858-812-1909

Date: January 26, 2009 **Pages:** 2 (including cover)

To: Mail Stop Issue Fee **Fax:** 1-571-270-9796
Commissioner for Patents
P.O. Box 1450
Arlington, VA 22313 **Telephone:** 1-703-308-9250, ext. 150
Attention: Diane Terry

From: Emily Tongco Wu **Phone:** 858-812-1539
Reg. No. 46,473

Subject: U.S. Application Serial No. **Fax:** 858-812-1909
10/690,873

MESSAGE:

In accordance with your voice mail message, enclosed is a signed PTOL-85 form in connection with the above-identified matter. Please do not hesitate to contact me should you have any questions or require additional information.

Thank you.